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USExpress Mail #  
EL 125950049 US

07/03/01  
jc960 U.S. PTO

Practitioner's Docket No. 13429-40254

PATENT

Preliminary Classification:  
Proposed Class:  
Subclass:

jc821 U.S. PTO  
09/898648  
07/03/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): James M. Carter  
For (title): CARRIER WITH BUILT-IN AMPLIFICATION FOR PERSONAL AUDIO  
DEVICE

1. Type of Application

This transmittal is for an original (nonprovisional) application.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\*

(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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[X] deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10\*

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TRANSMISSION

[ ] transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_\_.

Date:

July 3, 2001

Signature

*[Signature]*

Dinah Hooke

(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).  
"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

2. **Papers Enclosed**
  - A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application
    - 10 Page(s) of Specification
    - 4 Page(s) of Claims
    - 10 Sheet(s) of Drawing(s)--Formal
  - B. Other Papers Enclosed
    - 3 Page(s) of declaration and power of attorney
    - 1 Page(s) of abstract
    - Information Disclosure Statement
3. **Additional Papers Enclosed**  
Information Disclosure Statement (37 C.F.R. 1.98)
4. **Declaration or Oath**  
Enclosed and Executed by: inventor.
5. **Inventorship Statement**  
The inventorship for all the claims in this application is the same.
6. **Language**  
English
7. **Fee Calculation (37 C.F.R. Section 1.16)**  
Regular Application

| CLAIMS AS FILED                                      |              |                     |              |         |                                   |
|--|--------------|---------------------|--------------|---------|-----------------------------------|
| Claims   | Number Filed | Basic Fee Allowance | Number Extra | Rate    | Basic Fee 37 CFR 1.16(a) \$710.00 |
| Total Claims (37 CFR 1.16(c))                        | 15           | - 20 =              | 0 x          | \$0.00  | \$0.00                            |
| Independent Claims (37 CFR 1.16(b))                  | 5            | - 3 =               | 2 x 40.00    | \$80.00 | \$160.00                          |
| Multiple Dependent Claim(s), if any (37 CFR 1.16(d)) |              |                     | +            | \$0.00  | \$0.00                            |
| Filing Fee Calculation                               |              |                     |              |         | \$870.00                          |

8. **Small Entity Statement(s)**  
This is a filing by a small entity under 37 C.F.R. Sections 1.9 and 1.27.  
Filing Fee Calculation (50% of above) \$435.00

9. **Fee Payment Being Made at This Time  
Enclosed**

**Filing Fee**

**\$ 435.00**

**Total Fees Enclosed**

**\$ 435.00**

10. **Method of Payment of Fees**

Check in the amount of \$435.00 is attached.

11. **Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 18-1754.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.17 (application processing fees)

12. **Instructions as to Overpayment**

Credit Account No. 18-1754.

Date:

7/1/01

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